

10/536508

JC03 Rec'd PCT/PTO 25 MAY 2005

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Date of Deposit: May 25, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ryuji Fukuda et al.

International

Serial No. PCT/JP2002/013419

U.S. Serial No.: Applied for

Examiner: Not Assigned

Intl. Filing Date: December 24, 2002

U.S. Filing Date: Herewith

Art Unit: Not Assigned

Title: THERMOPLASTIC  
ELASTOMER COMPOSITION

Attorney Docket No: 5404/105

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
JP 11-100473 A	04/13/1999	Japan
JP 11-100508 A	04/13/1999	Japan
JP 2000-119478 A	04/25/2000	Japan
JP 2001-247722 A	09/11/2001	Japan
JP 2002-226665 A	08/14/2002	Japan
JP 2002-226667 A	08/14/2002	Japan

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

International Search Report from Corresponding International Application  
No. PCT/JP02/13419, dated April 22, 2003, 2 pages.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

BRINKS  
HOFER  
GILSON  
& LIONE

10/536508

JC06 Rec'd T/PTO 25 MAY 2005

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

May 24, 2005  
Date

Richard G. Lione  
Richard G. Lione (Reg. No. 19,795)

BRINKS  
HOFFER  
GILSON  
& LIONE

JC06 Rec'd PCT/P 25 MAY 2005

FORM PTO-1449	INTERNATIONAL APPLICATION NO. <b>10/536508</b> PCT/JP2002/013419	CASE NO. 5404/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	INTERNATIONAL FILING DATE December 24, 2002	GROUP ART UNIT Not Assigned
APPLICANT(S): Ryuji Fukuda et al.		

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
/J.M./	A1	JP 11-100473 A	04/13/1999	Japan		Abstract
/J.M./	A2	JP 11-100508 A	04/13/1999	Japan		Abstract
/J.M./	A3	JP 2000-119478 A	04/25/2000	Japan		Abstract
/J.M./	A4	JP 2001-247722 A	09/11/2001	Japan		Abstract
/J.M./	A5	JP 2002-226665 A	08/14/2002	Japan		Abstract
/J.M./	A6	JP 2002-226667 A	08/14/2002	Japan		Abstract

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
/J.M./	A7	International Search Report from Corresponding International Application No. PCT/JP02/13419, dated April 22, 2003, 2 pages.

EXAMINER	/Jeffrey Mullis/	DATE CONSIDERED	07/26/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TFW 174

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450 Alexandria, VA 22313-1450, on the below date.

Date: Aug 30, 2006 Name: Richard G. Lione, Reg. No. 19,795 Signature: Richard G. Lione

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: **Ryuji Fukuda et al.**

Appln. No.: **10/536,508**



**BRINKS  
HOFFER  
GILSON  
& LIONE**

Filed: **May 25, 2005**

Examiner: **Not Assigned**

For: **THERMOPLASTIC ELASTOMER  
COMPOSITION**

Art Unit: **1711**

Attorney Docket No: **5404/105**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal (in Duplicate); Supplemental Information Disclosure Statement; Form PTO-1449; and a Copy of Each Listed Reference for Which a Copy is Required.
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

Respectfully submitted,

Richard G. Lione (Reg. No. 19,795)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ryuji Fukuda et al.  
Appln. No.: 10/536,508  
Filed: May 25, 2005  
For: THERMOPLASTIC  
ELASTOMER COMPOSITION  
Attorney Docket No: 5404/105

Examiner: Not Assigned  
Art Unit: 1711

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	APPLICANT
WO 98/14518	04/09/1998	WIPO

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated

with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Aug. 30, 2006  
Date

Richard G. Lione  
Richard G. Lione (Reg. No. 19,795)



FORM PTO-1449	SERIAL NO. 10/536,508	CASE NO. 5404/105
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE May 25, 2005	GROUP ART UNIT 1711
APPLICANT(S) Ryuji Fukuda et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
/J.M./	B1	04/09/1998	WPO		Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS: (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER /Jeffrey Mullis/	DATE CONSIDERED 07/26/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.